# PERSEDED BY

Revision: HCFA-PM-87-4

MARCH 1987

(BERC)

SUPPLEMENT 1 TO ATTACHMENT

Page 1

OMB No.: 0939-0193

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

ARKANSAS State/Territory:

CASE MANAGEMENT SERVICES

A. Target Group:

Pregnant Women

B. Areas of State in which services will be provided:

 $\chi \overline{\chi}$  Entire State.

/\_/ Only in the following geographic areas (authority of section 1915(g)(1) of the Act is invoked to provide services less than Statewide:

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C. Comparability of Services

Services are provided in accordance with section 1902(a)(10)(B) of the Act.

Services are not comparable in amount, duration, and scope. Authority of section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of section 1902(a)(10)(B) of the Act.

D. Definition of Services: Case Management Services are services by a physician. a licensed social worker, or registered nurse which will assist pregnant women eligible under Medicaid in gaining access to needed medical, social, educational, & other services. (Ex.: locating a source of services, making an appointment for services, arranging transportation, arranging hospital admission. locating a physician to deliver newborn, follow-up to verify appointment, reschedule appt.)

**B.** Qualification of Providers:

Physician or clinic that provides perinatal services and employs specified staff.

TN No. <u>87-1</u>2 Supersedes TN No. Rew

Approval Date JUL 3 0 1987

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MARCH 1987

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SUPPLEMENT 1 TO ATTACHMENT 3.1-A

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OMB No.: 0939-0193

| State/Territory:  | ARKANSAS |
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- F. The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of section 1902(a)(23) of the Act.
  - 1. Eligible recipients will have free choice of the providers of case management services.
  - 2. Eligible recipients will have free choice of the providers of other medical care under the plan.
- G. Payment for case management services under the plan does not duplicate payments made to public agencies or private entities under other program authorities for this same purpose.

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Revised: January 1, 1992

#### STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

#### CASE MANAGEMENT

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- JADLs which results in the need for the coordination of multiple services and/or other resources; OR:
- Are in a situation or condition which poses imminent risk of death or serious bodily harm and one who demonstrates the lack of mental capacity to comprehend the nature and consequences of remaining in that situation or condition.
- B. Areas of State in which services will be provided:
  - [X] Entire State.

Target Group:

- Only in the following geographic areas (authority of section 1915(g)(1) of the Act) is invoked to provide services less than Statewide:
- C. Comparability of Services:
  - [] Services are provided in accordance with section 1902(a)(10)(B) of the Act.
  - [X] Services are not comparable in amount, duration, and scope. Authority of section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of section 1902(a)(10)(B) of the Act.
- D. Definition of Services:

Case management services are defined as referral for services or treatment. It is an activity under which responsibility for locating, coordinating and monitoring necessary and appropriate services for an individual rests with a specific person. Case management services will assist Medicaid recipients in gaining access to needed medical, social, educational and other services. These medical, social, educational and other services include services provided under the Arkansas Medicaid State Plan as well as those services not provided under the Arkansas Medicaid State Plan.

| TN No. 92-05     | Approval Date | 3/4/92 | Effective Date | 1/1/9   | 2 |
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Revised:

September 1, 1992

#### STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

#### CASE MANAGEMENT

Target Group:

Medicaid recipients sixty (60) years of age and older who:

- Have limited functional capability resulting from difficulty with two or more ADLs or IADLs which results in the need for the coordination of multiple services and/or other resources, OR;
- Are in a situation or condition which poses imminent risk of death or serious bodily harm and one who demonstrates the lack of mental capacity to comprehend the nature and consequences of remaining in that situation or condition.
- D. Definition of Services (Continued):

Targeted Case Management Services are limited to 208 hours per SFY.

The following are targeted case management service descriptions.

- Assessment/Updating: Face to face contact with the recipient and contact with other professionals, caregivers, or other parties on behalf of the recipient Assessment is performed for the purpose of collecting information about the recipient's situation and functioning and determining and identifying the recipient's problems and needs. Updating includes reexamining the recipient's needs, dentifying changes which have occurred since the previous assessment and altering the service plan as the recipient's needs change. Updating includes measuring the recipient's progress toward service plan goals.
- Service Management: Functions and processes which include initial development of a service plan identifying the type of services to be pursued, which exust be related to the recipient's needs identified in the assessment, contacting service providers selected by the recipient and negotiating for the delivery of services identified in the service plan. Contacts with the recipient and/or professionals, caregivers or other parties on behalf of the recipient may be a part of service management.
- Service Monitoring: Verifying through regular contacts with service providers that appropriate services are provided in a manner which is in accordance with the service plan and assuring through regular contacts with the recip**lent that** the recipient continues to participate in the service plan an<del>glis s</del>atisfied with **a**

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TN No. 92-29 Approval Date 8/20/92 

Effective Date 9-1-92

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September 1, 1992

## STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

### CASE MANAGEMENT

Target Group:

Medicaid recipients sixty (60) years of age and older who:

- Have limited functional capability resulting from difficulty with two or more ADLs or IADLs which results in the need for the coordination of multiple services and/or other resources, OR;
- Are in a situation or condition which poses imminent risk of death or serious bodily harm and one who demonstrates the lack of mental capacity to comprehend the nature and consequences of remaining in that situation or condition.
- D. Definition of Services (Continued):

Refer to Attachment 4.19-B, Page 7, B. for the definition of a unit of service.

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TN No.

Approval Date 8-20-92

Effective Date 9-1-92

Supersedes TN No.

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Revised:

**December 1, 1993** 

#### STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

#### **CASE MANAGEMENT**

Target Group:

Medicaid recipients sixty (60) years of age and older who:

- Have limited functional capability resulting from difficulty with two or more ADLs or IADLs which
  results in the need for the coordination of multiple services and/or other resources, OR;
- Are in a situation or condition which poses imminent risk of death or serious bodily harm and one who demonstrates the lack of mental capacity to comprehend the nature and consequences of remaining in that situation or condition.

#### E. Qualification of Providers:

Providers of targeted case management services for persons sixty (60) years of age and older must be certified by the Division of Aging and Adult Services as meeting the following:

- (1) be licensed as a Class A or Class B Home Health Agency by the Arkansas Department of Health, or a unit of state government, or an agency;
- (2) be able to demonstrate one year of experience in performing case management services;
- (3) be able to demonstrate one year of experience in working specifically in the field of aging;
- (4) have an administrative capacity to insure quality of services in accordance with State and Federal requirements;
- (5) have the financial management capacity and system that provides documentation of services and costs;
- (6) have the capacity to document and maintain individual case records in accordance with State and Federal requirements;
- (7) be able to demonstrate that they have current liability coverage and
- (8) employ qualified case managers who shall:

be licensed in the State of Arkansas as a Social Worker, a Registered Nurse or a Licensed Practical Nurse, or have a bachelor's degree from an accredited institution, or have performed satisfactorily as a Case Manager for a period of two (2) years.

| TN No. 93-28     | Approval Date 9-16 | 93 Pijegh | ve Date 12-1                              | -93 |
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| Supersedes TN No | 72-05              |           | 1508<br>31-93<br>-16-93<br>-1-93<br>13-28 | A   |

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Revised:

January 1, 1992

#### STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

#### CASE MANAGEMENT

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Medicaid recipients sixty (60) years of age and older who:

- Have limited functional capability resulting from difficulty with two or more ADLs or IADLs. which results in the need for the coordination of multiple services and/or other resources. OF
- Are in a situation or condition which poses imminent risk of death or serious bodily harm. and one who demonstrates the lack of mental capacity to comprehend the nature and consequences of remaining in that situation or condition.
- F. The State assures that the provision of case management services will not restrict an individual's free choice of providers in violation of section 1902(a)(23) of the Act.
  - Eligible recipients will have free choice of the providers of case management services.
  - (1) (2) Eligible recipients will have free choice of the providers of other medical care under the plan.
- Payment for case management services under the plan does not duplicate payments made to G. public agencies or private entities under other program authorities for this same purpose.

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TN No. <u>92-05</u> Approval Date <u>3/4/92</u>
Supersedes TN No. <u>9/-3/</u> Effective Date

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Revised:

August 1, 1994

# STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

|    |                                   | CASE MANAGEMENT   |
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| A. | Targe                             | et Group:   |
|    | of mo<br>close<br>or ad<br>servi  | caid recipients age twenty-two and older who are diagnosed as having a developmental disability<br>ental retardation, cerebral palsy, epilepsy, autism or any other condition of a person found to be<br>ly related to mental retardation because it results in impairment of general intellectual functioning<br>laptive behavior similar to those of persons with mental retardation or require treatment and<br>ces similar to those required for such persons and are not receiving services through the DDS<br>native Community Services (ACS) Waiver Program. |
| В. | Areas                             | of State in which services will be provided:  |
|    | [X]                               | Entire State.   |
|    | []                                | Only in the following geographic areas (authority of section $1915(g)(1)$ of the Act) is invoked to provide services less than Statewide.   |
| C. | Comp                              | arability of Services:  |
|    | []                                | Services are provided in accordance with section 1902(a)(10)(B) of the Act.   |
|    | [X]                               | Services are not comparable in amount, duration, and scope. Authority of section 1915(g)(1) of the Act is invoked to provide services without regard to the requirements of section 1902(a)(10)(B) of the Act.  |
| D. | Defini                            | tion of Services:   |
|    | respoi<br>with<br>medic<br>servic | management services are defined as referral for services or treatment. It is an activity under which is a specific person. Case management services will assist Medicaid recipients in gaining access to needed al, social, educational and other services. These medical, social, educational and other services include es provided under the Arkansas Medicaid State Plan as well as those services not provided under the sas Medicaid State Plan.  |

| TN No. 94-11 Approval Date JUL 0 6 1994 | Effective Date AUG 0 1 1994                                 |   |
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| Supersedes TN No. 94-02                 | DATE REC'D JUN 0 9 1994  DATE APPVID AUG 01 1994  HICHA 179 | Α |

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August 1, 1994

#### STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

#### CASE MANAGEMENT

**Target Group:** 

Medicaid recipients age twenty-two and older who are diagnosed as having a developmental disability of mental retardation, cerebral palsy, epilepsy, autism or any other condition of a person found to be closely related to mental retardation because it results in impairment of general intellectual functioning or adaptive behavior similar to those of persons with mental retardation or require treatment and services similar to those required for such persons and are not receiving services through the DDS Alternative Community Services (ACS) Waiver Program.

D. Definition of Services (Continued):

Targeted Case Management Services are limited to 208 hours per SFY.

The following are targeted case management service descriptions:

- Assessment/Updating: Face to face contact with the recipient and contact with other professionals, caregivers, or other parties on behalf of the recipient. Assessment is performed for the purpose of collecting information about the recipient's situation and functioning and determining and identifying the recipient's problems and needs. Updating includes reexamining the recipient's needs and identifying changes which have occurred since the previous assessment. Updating includes measuring the recipient's progress toward service plan goals.
- Service Management: Functions and processes which include initial development of a service plan identifying the type of services to be pursued, which must be related to the recipient's needs identified in the assessment, contacting service providers selected by the recipient and negotiating for the delivery of services identified in the service plan and altering the service plan as the recipient's needs change. Contacts with the recipient and/or other professionals, caregivers, or other parties on behalf of the recipient may be a part of service management.
- Service Monitoring: Verifying through regular contacts with service providers that appropriate services
  are provided in a manner which is in accordance with the service plan and assuring through regular
  contact with the recipient that the recipient continues to participate in the service plan and is satisfied
  with services.

| TN No. 94-11      | Approval Date _ | NUL 0 6 1994      | Effective Date | AUG 0 1 | -<br>1994<br>- |
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**Revised:** 

August 1, 1994

#### STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT

State/Territory: ARKANSAS

#### CASE MANAGEMENT

**Target Group:** 

Medicaid recipients age twenty-two and older who are diagnosed as having a developmental disability of mental retardation, cerebral palsy, epilepsy, autism or any other condition of a person found to be closely related to mental retardation because it results in impairment of general intellectual functioning or adaptive behavior similar to those of persons with mental retardation or require treatment and services similar to those required for such persons and are not receiving services through the DDS Alternative Community Services (ACS) Waiver Program.

D. Definition of Services (Continued):

Refer to Attachment 4.19-B, Page 7, B. for the definition of a unit of service.

| DATE REC'D JUN 0 9 1994,  DATE APPV JUL 0 6 1994  DATE APPV JUG 0 1 1994  HCFA 179 | A |
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